

# Abrasion-Ablation Model for Neutron Production in Heavy Ion Reactions

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## Abstract

*In heavy ion reactions, neutron production at forward angles is observed to occur with a Gaussian shape that is centered near the beam energy and extends to energies well above that of the beam. This paper presents an abrasion-ablation model for making quantitative predictions of the neutron spectrum. To describe neutrons produced from the abrasion step of the reaction where the projectile and target overlap, we use the Glauber model and include effects of final-state interactions. We then use the pre-fragment mass distribution from abrasion with a statistical evaporation model to estimate the neutron spectrum resulting from ablation. Measurements of neutron production from Ne and Nb beams are compared with calculations, and good agreement is found.*

## Introduction

Neutrons produced from the nuclear interactions of cosmic rays with the Earth's atmosphere, aircraft or spacecraft structural shielding, or the self-shielding of the human body are responsible for a large fraction of the energy deposition to passengers on high-altitude aircraft (ref. 1) and to astronauts in low Earth orbit. The nuclear reactions leading to the production of secondary neutrons from cosmic rays are dominated by the nucleon component; however, a significant fraction of neutrons are also produced in the interactions of the primary helium and heavy ion components of the cosmic rays with shielding. (See ref. 2.) Models of neutron production in heavy ion reactions are thus important inputs for the assessment of radiation damage from cosmic rays.

The study of neutron production from reactions induced by heavy ions may also present important insights into the theoretical modeling of the production of heavy fragments in these reactions. The abrasion-ablation model has been used for many years to describe mass yields in heavy ion collisions. (See refs. 3 to 6.) However, few attempts have been made to calculate nucleon production, including momentum distributions, in the abrasion-ablation model (ref. 7). Heavy ion fragment mass yields and nucleon production are ultimately related in these reactions, theoretically through the equations of motion or scattering amplitude. In references 8 and 9, measurements of inclusive neutron production in heavy ion collisions at 390A MeV and 800A MeV suggest that neutrons from the knockout stage of abrasion and the evaporation stage of ablation can be separated from the data. Madey and coworkers (refs. 8 and 9) have decomposed the neutron production data at forward angles into three Gaussian components of increasing widths. The narrowest component was attributed to evaporation neutrons, for which they found an effective temperature of around 2.7 MeV at 390A MeV and 3.3 MeV at 800A MeV. The second component of intermediate width was attributed to direct knockouts of neutrons. For this component, the width of the distribution was related

to the internal momentum distribution of nucleons with Fermi momentum of about 250 MeV/c. The third and widest component was attributed to a high-momentum tail in the internal momentum distribution or to collective excitation effects. These results are useful because they suggest that some details of the different stages of the abrasion-ablation description can be uncovered from inclusive data where only the total distribution of neutrons is measured.

In this paper, we extend the abrasion-ablation model as formulated by Hüfner, Schäffer, and Schürmann to the evaluation of momentum distributions for nucleon production in heavy ion collisions (ref. 4). Using the Glauber model (ref. 10), we consider the spectrum of the knockouts in the overlap region of the collision. We also estimate the contribution of the final-state interactions of the knockouts for the projectile interacting with the pre-fragments. As in reference 4, the main approximations, other than the Glauber approximation, are derived from the treatment of the nuclear wave function, for which single-particle wave functions are used at all stages. In references 11 and 12, this formulation has been used to calculate proton production from  $^{12}\text{C}$  and  $^{40}\text{Ar}$  projectiles. Excitation energies from the abrasion stage are calculated in the geometric abrasion-ablation model (refs. 3 and 6) and used in the classical evaporation model (refs. 13 and 14) to estimate the neutron spectrum that originates in ablation. We then have a quantitative approach for considering the several mechanisms described in references 8 and 9 and can make comparisons that are consistent with the models describing heavy fragment yields.

The neutrons produced in the abrasion stage have momentum distributions largely determined by the ground-state, one-body density matrix of the projectile. To explain the high-momentum component of the neutron spectrum, we consider recent models that account for correlation effects based on calculations of the momentum distributions  $n(\mathbf{p})$ . (See refs. 15 and 16.) In many aspects, the physics of the calculations presented

here are similar to those contained in intranuclear cascade codes that use the Monte-Carlo method. (See refs. 17 and 18.) The formalism we present is useful first because of its simplicity because it involves only a few numerical integrations and second because of its ability to test nuclear structure inputs, such as the one-body density matrix. In the remainder of this paper, we first introduce the Glauber amplitude and recast the abrasion model in terms of the momentum distributions of the knockouts. The final-state interactions are then studied by correcting transition densities for rescattering effects. The energy spectrum of neutrons decaying from prefragments are then considered by using the classical evaporation model. Finally, we make comparisons with experiments and discuss the results of model calculations.

## Symbols

$A$	mass number	$\mathbf{q}$	momentum transfer, $\text{fm}^{-3}$
$B$	two-body slope parameter, $\text{fm}^2$	$\mathbf{r}$	internal nuclear coordinate, $\text{fm}^3$
$\mathbf{b}$	impact parameter, $\text{fm}$	$S_n$	separation energy, MeV
$c$	speed of light, $\text{m/sec}$	$\mathbf{s}$	transverse part of $\mathbf{r}$
$E$	energy, MeV	$T$	target
$F$	fragment	$w_0$	level density of residual nucleus
$F^*$	prefragment	$X$	final target state
$F_l$	probability of emission of ion $l$	$z$	component of $\mathbf{r}$
$f$	scattering operator, $\text{fm}$	$\alpha$	ratio of real to imaginary parts of $f_{NN}$
$g_n$	statistical weight	$\beta$	relative projectile target velocity
$\text{Im}$	imaginary part of function	$\Gamma$	profile function
$J_m^{(1)}$	cylindrical Bessel function of first kind of order $m$	$\delta$	Dirac delta function
$K$	projectile target relative wave number, $\text{fm}^{-1}$	$\zeta$	target constituent
$\mathbf{k}$	wave number of emitted neutrons, $\text{fm}^{-3}$	$\mu_n$	neutron mass
$M$	mass, $\text{MeV}/c$	$\xi$	defined in equation (22)
$m_N$	nucleon mass, $\text{MeV}/c^2$	$\Lambda_n$	defined in equation (20)
$N_1$	single-collision term	$\rho(\mathbf{r})$	one-body density, $\text{fm}^{-3}$
$n$	number of abraded nucleons	$\rho(\mathbf{r}, \mathbf{r}')$	one-body density matrix, $\text{fm}^{-3}$
$n(\mathbf{p})$	momentum distribution	$\sigma$	cross section, mb
$n(\mathbf{x})$	Fourier transform of nucleon momentum distributions	$\phi(\mathbf{r})$	single-particle wave function
$P$	projectile	$\chi$	Eikonal phase
$\mathcal{P}(\mathbf{b}, \mathbf{b}')$	function describing projectile spectators	$\Psi$	complete nuclear wave function
$Q$	defined in equation (13)	$\Omega$	Eikonal inelastic collision term
		Subscripts:	
		abl	ablation
		abr	abrasion
		CN	formation cross section
		$f$	final state
		$i$	initial state
		$j$	abraded nucleons (projectile constituents)
		NN	nucleon-nucleon (two body)
		$P$	projectile
		$T$	target
		$X$	final target state

## Abrasion Theory

In the Glauber model the scattering operator for nucleus-nucleus collisions, as given in references 10 and 11, is

$$f = \frac{iK}{2\pi} \int d^2b \exp(i\mathbf{q} \cdot \mathbf{b}) \Gamma(\mathbf{b}) \quad (1)$$

where  $K$  is the relative wave number of the projectile target,  $\mathbf{b}$  is the impact parameter, and  $\mathbf{q}$  is the momentum transfer. The profile function representing the multiple-scattering series at high energies is

$$\Gamma(\mathbf{b}) = 1 - \prod_{\zeta, j} [1 - \Gamma_{\zeta, j}(\mathbf{b} - \mathbf{s}_\alpha - \mathbf{s}_j)] \quad (2)$$

where  $\zeta$  and  $j$  label the target and projectile constituents, respectively. In equation (2),  $\Gamma_{\zeta, j}$  is the two-body profile function with the internal coordinate having components  $\mathbf{r} = (\mathbf{s}, z)$ .

The scattering amplitude of equation (1) is related to the production cross section for a projectile nucleon from the abrasion process by

$$\begin{aligned} \frac{d\sigma}{d\mathbf{k}} = & \sum_X \frac{1}{(2\pi)^2} \int dE_{F^*} d^2q d^2b d^2b' \exp[i\mathbf{q} \cdot (\mathbf{b} - \mathbf{b}')] \delta(E_i - E_f) \\ & \times \int \prod_{j=2}^n \left[ \frac{d\mathbf{k}_j}{(2\pi)^3} \right] \langle TP | \Gamma^\dagger(\mathbf{b}') | XF^* \mathbf{k}_j \rangle \langle \mathbf{k}_j F^* X | \Gamma(\mathbf{b}) | PT \rangle \end{aligned} \quad (3)$$

where  $\mathbf{k}_j$  denotes the wave numbers of the abraded nucleons,  $F^*$  denotes the prefragment (with  $A_{F^*} = A_P - n$ ), and we have inserted initial and final states in equation (3).

The state dependence of the final target energy prevents closure on these states from being automatic in equation (3); however, when energy conservation is not considered, it is made outright (ref. 4). Next, we consider the change in energy of the target from the collision as follows:

$$E_T - E_X = E_T - \sqrt{(\mathbf{p}_T - \mathbf{q})^2 + M_X^2} = E_T \left( 1 - \sqrt{1 + \frac{q^2 + M_X^2 - M_T^2}{E_T^2}} \right) \quad (4)$$

where  $M_T$  and  $M_X$  are the mass of the target in the initial and final states, respectively. We expect that performing closure on the  $|X\rangle$  will be valid for sufficiently large values of  $E_T$ . After closure on the final target states, we find

$$\frac{d\sigma}{d\mathbf{k}} = \frac{1}{(2\pi)^2} \int dE_{F^*} d^2q d^2b d^2b' \exp[i\mathbf{q} \cdot (\mathbf{b} - \mathbf{b}')] \sigma_n(\mathbf{b}, \mathbf{b}', \mathbf{k}, \mathbf{q}, E_{F^*}) \quad (5)$$

where we define

$$\sigma_n(\mathbf{b}, \mathbf{b}', \mathbf{k}, \mathbf{q}, E_{F^*}) = \langle T | \left\{ \int \prod_{j=2}^n \left[ \frac{d\mathbf{k}_j}{(2\pi)^3} \right] \delta(E_i - E_f) \langle P | \Gamma^\dagger(\mathbf{b}') | F^* \mathbf{k}_j \rangle \langle \mathbf{k}_j F^* | \Gamma(\mathbf{b}) | P \rangle \right\} | T \rangle \quad (6)$$

To consider the energy-conserving  $\delta$  function in equation (6), we introduce the Fourier transform pair

$$\sigma_n(t) = \int dE \exp(-iEt) \sigma_n(E) \quad (7)$$

and

$$\sigma_n(E) = \int \frac{dt}{2\pi} \exp(iEt) \sigma_n(t) \quad (8)$$

In the projectile rest frame, we have

$$E_i - E_f = S_n - T_{F^*} + E_T - E_X - \sum_{j=1}^n \frac{\mathbf{k}_j^2}{2m_N} \quad (9)$$

where  $S_n$  is the separation energy and  $T_{F^*}$  is the recoil energy of the prefragment, including any excitation energy of the prefragments. We next go into temporal space to consider the  $d\mathbf{k}_j$  integrals in equation (6) and thus rewrite equation (9) as

$$E_i - E_f = \bar{E} - \sum_{j=2}^n \frac{\mathbf{k}_j^2}{2m_N} \quad (10)$$

From equations (6), (7), and (10), we find

$$\sigma_n(t) = \langle T | \left\{ \int \prod_{j=2}^n \left[ \frac{d\mathbf{k}_j}{(2\pi)^3} \right] \exp \left( -i \sum_{j=1}^n \frac{\mathbf{k}_j^2 t}{2m_N} \right) \langle P | \Gamma^\dagger(\mathbf{b}') | F^* \mathbf{k}_j \rangle \langle \mathbf{k}_j F^* | \Gamma(\mathbf{b}) | P \rangle \right\} | T \rangle \quad (11)$$

To simplify equation (11), we first factor the profile function into projectile participant and spectator terms as

$$\Gamma(\mathbf{b}) = 1 - \prod_{l=n+1}^{A_P} Q_l(\mathbf{b} - \mathbf{s}_l) \prod_{j=1}^n Q_j(\mathbf{b} - \mathbf{s}_j) \quad (12)$$

where

$$Q_j = \prod_{\zeta=1}^{A_T} (1 - \Gamma_{\zeta,j}) \quad (13)$$

In the abrasion model, the orbits of the prefragments are assumed to be nearly the same as those of the projectile. This assumption is consistent with the use of the impulse and frozen nucleus approximations at high energies. A completely factored form in the participant and spectator coordinates is assumed for the projectile wave function

$$|P\rangle = |F\rangle |\phi_n\rangle \quad (14)$$

where  $|F\rangle$  and  $|\phi_n\rangle$  are the wave functions of the core (spectators) and of the knockouts (participants), respectively. The antisymmetrization is ignored in equation (14), which should be accurate if the mass of  $F$  is much larger than that of the knockouts. Antisymmetrization in the subsystems of  $|F\rangle$  and  $|\phi_n\rangle$  may still be included. By using plane-wave states for the  $|\mathbf{k}_j\rangle$  and substituting equations (12) and (14) into equation (11), we find that

$$\begin{aligned} \sigma_n(t) = \langle T | & \left\{ \binom{A_P}{n} \langle F | \prod_l Q_l^\dagger(\mathbf{b}' - \mathbf{s}_l') | F^* \rangle \langle F^* | \prod_l Q_l(\mathbf{b} - \mathbf{s}_l) | F \rangle \int d\mathbf{r}_1 d\mathbf{r}_1' \exp(i\mathbf{k} \cdot \mathbf{x}_1) Q_1^\dagger(\mathbf{b}' - \mathbf{s}_1') Q(\mathbf{b} - \mathbf{s}_1) \right. \\ & \times \prod_{j=2}^n \left[ \int \frac{d\mathbf{k}_j}{(2\pi)^3} d\mathbf{r}_j d\mathbf{r}_j' \exp(i\mathbf{k}_j \cdot \mathbf{x}_j) \exp\left(\frac{-k_j^2 t}{2m_N}\right) Q_j^\dagger(\mathbf{b}' - \mathbf{s}_j') Q_j(\mathbf{b} - \mathbf{s}_j) \right] \phi_n^\dagger(\mathbf{r}_1', \dots, \mathbf{r}_n') \phi_n(\mathbf{r}_1, \dots, \mathbf{r}_n) \left. \right\} | T \rangle \end{aligned} \quad (15)$$

where  $\mathbf{x}_j = \mathbf{r}_j - \mathbf{r}_j'$ . Using the coherent approximation for the target wave function in the intermediate states and the independent particle model for the fragment wave function leads to the following equation:

$$\sigma_n(t) = \binom{A_P}{n} \mathcal{P}^{A_P-n}(\mathbf{b}, \mathbf{b}') \Lambda_{n-1}(\mathbf{b}, \mathbf{b}', t) \frac{dN_1}{d\mathbf{k}} \quad (16)$$

where the function  $\mathcal{P}(\mathbf{b}, \mathbf{b}')$  describes the projectile spectators as given by

$$\mathcal{P}^{A_P-n}(\mathbf{b}, \mathbf{b}') = \langle TF | \prod_l Q_l^\dagger(\mathbf{b}' - \mathbf{s}_l) | F^* \rangle \langle F^* | \prod_l Q_l(\mathbf{b} - \mathbf{s}_l) | FT \rangle \quad (17)$$

We next perform closure on the prefragments states in equation (17) because we do not consider coincidences with individual states. After closure we find that

$$\mathcal{P}^{A_P}(\mathbf{b}, \mathbf{b}') = \langle TF | \prod_l Q_l^\dagger(\mathbf{b}' - \mathbf{s}_l) Q_l(\mathbf{b} - \mathbf{s}_l) | FT \rangle \quad (18)$$

In equation (16) we have defined

$$\frac{dN_1}{d\mathbf{k}} = \frac{1}{(2\pi)^3} \int d\mathbf{r} d\mathbf{r}' \exp(i\mathbf{k} \cdot \mathbf{x}) \rho(\mathbf{r}, \mathbf{r}') Q_l^\dagger(\mathbf{b}' - \mathbf{s}') Q_l(\mathbf{b} - \mathbf{s}) \quad (19)$$

where  $\rho(\mathbf{r}, \mathbf{r}')$  is the one-body density matrix of the projectile given by  $\rho(\mathbf{r}, \mathbf{r}') = \phi^\dagger(\mathbf{r}') \phi(\mathbf{r})$ . Next from equation (15), after evaluation of the integrals over  $\mathbf{k}_j$  for  $j > 2$ , we find that

$$\Lambda_{n-1}(\mathbf{b}, \mathbf{b}', t) = \langle T | \int \prod_{j=2}^n \left[ d\mathbf{r}_j d\mathbf{r}'_j \left( \frac{m_N}{2\pi i t} \right)^{3/2} \exp\left( \frac{-m_N x_j^2}{2it} \right) \rho(\mathbf{r}_j, \mathbf{r}'_j) Q_j^\dagger(\mathbf{b}' - \mathbf{s}'_j) Q_j(\mathbf{b} - \mathbf{s}_j) \right] | T \rangle \quad (20)$$

In energy space,

$$\begin{aligned} \Lambda_{n-1}(\mathbf{b}, \mathbf{b}', T_{F^*}, \mathbf{k}) &= \langle T | \int \prod_{j=2}^n [d\mathbf{r}_j d\mathbf{r}'_j \rho(\mathbf{r}_j, \mathbf{r}'_j) Q_j^\dagger(\mathbf{b}' - \mathbf{s}'_j) Q_j(\mathbf{b} - \mathbf{s}_j)] \\ &\times \frac{m_N}{2} \left( \frac{1}{2\pi} \right)^{3(n-1)/2} \frac{\xi_{n-1}^{[3(n-1)/2] - 1}}{\bar{x}_{n-1}^{[3(n-1)/2] - 1}} J_{[3(n-1)/2] - 1}^{(1)}(\xi_{n-1} x_{n-1}) | T \rangle \end{aligned} \quad (21)$$

where  $J_m^{(1)}$  is the cylindrical Bessel function of the first kind of order  $m$  and where

$$\xi_{n-1} = \sqrt{2m_N \left[ T_{F^*} + \frac{\mathbf{k}^2}{2m_N} - S_n - (E_T - E_X) \right]} \quad (22)$$

and

$$\bar{x}_{n-1} = \sqrt{\sum_{j=2}^n x_j^2} \quad (23)$$

For  $n = 1$ , we have  $\Lambda_0 = \delta(T_{F^*})$ . If we assume forward-peaked density matrices (about), a small argument expansion of the Bessel functions can be developed (ref. 11) that results in

$$\Lambda_{n-1}(\mathbf{b}, \mathbf{b}', T_{F^*}, \mathbf{k}) \approx C_{n-1} \left[ T_{F^*} + \frac{\mathbf{k}^2}{2m_N} - S_n - (E_T - E_X) \right]^{(n-1)} \Lambda_1^{n-1} \left( \mathbf{b}, \mathbf{b}', \frac{\xi_{n-1}}{\sqrt{n-1}} \right) + O(\xi^4 x^4) \quad (24)$$

where, for example,  $C_1 = 1$ ,  $C_2 = \frac{\pi}{4}$ ,  $C_3 = \frac{\pi}{105}$ , and  $C_4 = \frac{\pi^2}{204}$ .

The nucleon momentum distribution from abrasion then takes the following form:

$$\left( \frac{d\sigma}{d\mathbf{k}} \right)_{\text{abr}} = \sum_n \binom{A_P}{n} \frac{1}{(2\pi)^2} \int d^2 q d^2 b d^2 b' \exp[iq \cdot (\mathbf{b} - \mathbf{b}')] \mathcal{P}^{A_P-n}(\mathbf{b}, \mathbf{b}') \frac{dN_1}{d\mathbf{k}} \int dT_{F^*} \Lambda_{n-1}(\mathbf{b}, \mathbf{b}', T_{F^*}, \mathbf{k}) \quad (25)$$

If

$$q^2 + M_T^2 - M_X^2 \ll E_T^2 \quad (26)$$

we may approximate equation (25) as

$$\left(\frac{d\sigma}{d\mathbf{k}}\right)_{\text{abr}} \approx \sum_n \binom{A_P}{n} \int d^2b \mathcal{P}^{A_P-n}(\mathbf{b}) \frac{dN_1}{d\mathbf{k}} \int dT_{F^*} \Lambda_{n-1}(\mathbf{b}, T_{F^*}, \mathbf{k}) \quad (27)$$

The result of equation (27) suggests that for  $A_P \gg 1$ , the momentum dependence of higher production terms ( $n > 1$ ) should be similar to that of the leading-order terms. (See appendix.) This result supports the success of the hard-scattering model of Hatch and Koonin (ref. 19), which only uses the single-scattering mechanism to predict the shape of the inclusive proton distribution in heavy ion collisions. The model developed here differs from the hard-scattering model by our use of the target closure approximation. In this approximation, the effects of smearing of the secondary momentum from the target knockouts are not considered. Instead, these effects are replaced by averages represented by the target density and by using only on-shell, two-body amplitudes. Also, the Glauber model has a much fuller multiple-scattering structure than does the hard-scattering model.

To include the effects of final-state interactions of the nucleon knockouts, we use the Eikonal model described in reference 11. In this model, the plane waves are replaced by the distorted wave for the nucleon-projectile recoil interaction evaluated at the relative energy between the knockout and the recoil. Modifying equation (19) as in reference 11 gives

$$\frac{dN_1}{d\mathbf{k}} = \frac{1}{(2\pi)^3} \int d\mathbf{r} d\mathbf{r}' \exp(i\mathbf{k} \cdot \mathbf{x}) \rho(\mathbf{r}, \mathbf{r}') \exp[-2Im\chi^{(-)}(\mathbf{y})] Q_l^\dagger(\mathbf{b}' - \mathbf{s}') Q_l(\mathbf{b} - \mathbf{s}) \quad (28)$$

where  $\chi^{(-)}$  is the outgoing Eikonal phase. Equation (28) ignores off-shell effects but includes the energy dependence of the final-state interaction and assumes a medium modified interaction, as described in reference 11.

### Optical Limit for Profile Functions

For  $A_P A_T \gg 1$ , the optical limit of the profile functions occurring in the previous equations may be used (refs. 10 and 20). From reference 20, we find in the optical limit that

$$\mathcal{P}^{A_P}(\mathbf{b}, \mathbf{b}') = \exp\{i[\chi(\mathbf{b}) - \chi^\dagger(\mathbf{b}')]\} \quad (29)$$

where the Eikonal phase is

$$\chi(\mathbf{b}) = \frac{A_P A_T}{(2\pi k_{NN})} \int d^2q \exp(i\mathbf{q} \cdot \mathbf{b}) F_P(q) F_T(q) f_{NN}(q) \quad (30)$$

with  $F(q)$  denoting the one-body form factor and  $f_{NN}$  denoting the two-body scattering amplitude, which we represent by

$$f_{NN} = \frac{\sigma_{NN}(\alpha + i) k_{NN}}{4\pi} \exp\left(\frac{-1}{2} B q^2\right) \quad (31)$$

where  $\sigma_{NN}$  is the two-body total cross section,  $k_{NN}$  is the relative momentum in two-body center of mass frame,  $B$  the two-body slope parameter, and  $\alpha$  the ratio of the real to imaginary parts of  $f_{NN}(\mathbf{q} = 0)$ . For the inelastic terms, we write in the optical limit (ref. 20) that

$$Q_j^\dagger(\mathbf{b}' - \mathbf{s}_j') Q_j(\mathbf{b} - \mathbf{s}_j) = \exp[\tilde{\Omega}(\mathbf{b}' - \mathbf{s}_j', \mathbf{b} - \mathbf{s}_j)] - 1 \quad (32)$$

with

$$\tilde{\Omega}(\mathbf{b}' - \mathbf{s}_j', \mathbf{b} - \mathbf{s}_j) = \frac{1}{(2\pi k_{NN})^2} \sum_\alpha \int d^2q d^2q' \exp[i\mathbf{q} \cdot (\mathbf{b} - \mathbf{s}_j + \mathbf{s}_\alpha)] \exp[-i\mathbf{q}' \cdot (\mathbf{b}' - \mathbf{s}_j' + \mathbf{s}_\alpha)] f_{NN}^\dagger(\mathbf{q}') f_{NN}(\mathbf{q}) \quad (33)$$



## Model for Nuclear Density Matrix

We next describe a local density approximation for the one-body density matrix. For a projectile nucleus, the one-body density matrix is defined in terms of the complete nuclear wave function  $\Psi$  (ref. 15) as follows:

$$\rho(\mathbf{r}, \mathbf{r}') = \int d\mathbf{r}_2 d\mathbf{r}_3 \dots d\mathbf{r}_{A_p} \Psi^\dagger(\mathbf{r}', \mathbf{r}_2, \dots, \mathbf{r}_{A_p}) \Psi(\mathbf{r}, \mathbf{r}_2, \dots, \mathbf{r}_{A_p}) \quad (34)$$

Evaluation of equation (34) requires knowledge of the complete nuclear wave function; however, in practice a model is introduced. In the Fermi gas model, the density matrix is represented as

$$\rho(\mathbf{r}, \mathbf{r}') = \rho_o \frac{3j_1(k_F |\mathbf{r} - \mathbf{r}'|)}{k_F |\mathbf{r} - \mathbf{r}'|} \quad (35)$$

where  $k_F$  is the Fermi momentum and  $\rho_o$  is the density of nuclear matter. The Fermi gas model is known to provide a poor representation of the density matrix. However, its form suggests the use of a local density model, for which the density matrix is assumed to factor as

$$\rho(\mathbf{r}, \mathbf{r}') \approx \rho(\mathbf{y}) n(\mathbf{x}) \quad (36)$$

with  $\mathbf{x} = \mathbf{r} - \mathbf{r}'$  and  $\mathbf{y} = \frac{1}{2}(\mathbf{r} + \mathbf{r}')$  and where the one-body density is given by the diagonal part of the density matrix

$$\rho(\mathbf{r}) \approx \rho(\mathbf{r}, \mathbf{r}') \quad (\mathbf{r}' = \mathbf{r}) \quad (37)$$

and  $n(\mathbf{x})$  is the Fourier transform of the nucleon momentum distribution

$$n(\mathbf{x}) = \int d\mathbf{p} \exp(i\mathbf{p} \cdot \mathbf{x}) n(\mathbf{p}) \quad (38)$$

where  $n(\mathbf{p})$  is defined by

$$n(\mathbf{p}) = \int d\mathbf{r} d\mathbf{r}' \exp(-i\mathbf{p} \cdot \mathbf{x}) \rho(\mathbf{r}, \mathbf{r}') \quad (39)$$

with normalization

$$\int n(\mathbf{p}) \frac{d\mathbf{p}}{(2\pi)^3} = 1 \quad (40)$$

The one-body density is reasonably well known from elastic electron scattering. The nucleon momentum distribution at small to modest values of  $p$  is known from inclusive inelastic electron scattering. For large  $p$  values, backward production of protons suggests that large enhancements to the nucleon momentum distribution result from correlation effects when compared with predictions of independent-particle models. In addition, this enhancement is largely independent of nuclear mass.

Haneishi and Fujita (ref. 21) have introduced the following momentum distribution:

$$n(\mathbf{p}) = n_0 \sum_{i=1}^3 C_i \exp\left(-\frac{p^2}{2p_i^2}\right) \quad (41)$$

where  $n_0$  is a normalization constant. The last term in equation (41) is expected to directly reflect the nuclear correlations. The value of  $C_3 = 0.003$ , used in reference 21, was based on estimates from backwards proton production. This value was found to be too large in references 11 and 12; thus, the value  $C_3 = 0.0008$  is used herein. In equation (41),  $p_1$  is related to the Fermi momentum by  $p_1 = \sqrt{2/5} k_F$ . Values for  $k_F$  are listed in table 1, and the other parameters of equation (41) are listed in table 2. Figure 1 compares the model of equation (39) with a Fermi gas model that includes only the first term in equation (41) and with the correlation model of reference 16.

Table 1. Experimental Determination of Fermi Momentum for Several Nuclei

Nucleus	$k_F$ , MeV/c
$^{12}\text{C}$	184
$^{20}\text{Ne}$	230
$^{40}\text{Ar}$	240
$^{93}\text{Nb}$	255
$^{197}\text{Au}$	265

Table 2. Parameters for Momentum Distribution Model of Equation (41)

$i$	$p_i$ , MeV/c	$C_i$
1	$\sqrt{2/5}k_F$	1
2	$\sqrt{6/5}k_F$	.03
3	500	0.0008

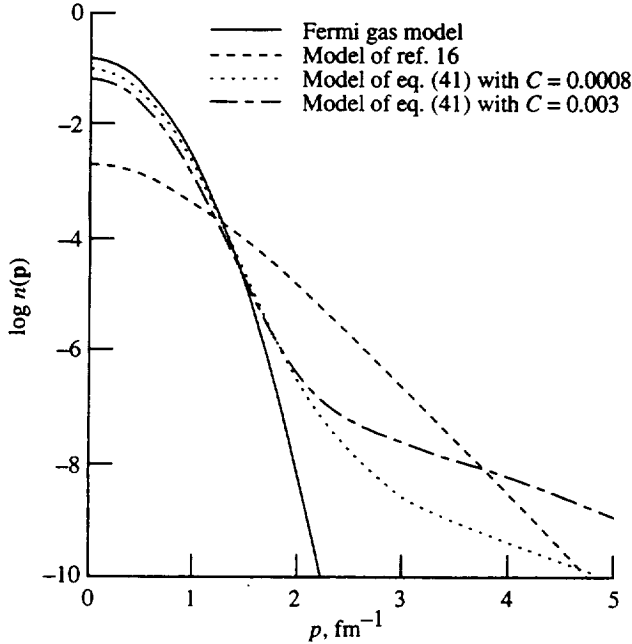


Figure 1. Internal nucleon momentum distribution for Ne versus momentum for several models.

## Neutron Spectrum From Ablation

After the projectile-target collision, a distribution of prefragment nuclei in states of excitation remain. The excitation spectrum is treated as an average state in the abrasion-ablation model, with a single or small number of average excitation energies used to describe the prefragment and the strength of the state determined by the total abrasion cross section. A microscopic formulation

of nucleon and cluster abrasion describes transitions to individual levels of the prefragment nuclei (ref. 22). Here we follow the approach described in references 3 and 6 for estimating average excitation energies and calculate the neutron spectrum from ablation with the Weisskopf-Ewing statistical decay model. We define  $P_n(j, \mathbf{k})$  as the probability that a prefragment labeled  $j$  with mass number  $A_j$ , charge number  $Z_j$ , and excitation energy  $E_j^*$ , emits a neutron of momentum  $\mathbf{k}$  (refs. 13 and 14). The momentum distribution for neutron production from ablation in the projectile rest frame is written as

$$\left(\frac{d\sigma}{d\mathbf{k}}\right)_{\text{abl}} = \sum_j \sigma_{\text{abr}}(A_j, Z_j, E_j^*) P_n(j, \mathbf{k}) \quad (42)$$

The total abrasion cross section in equation (42) is evaluated from the abrasion momentum distribution in equation (27), as discussed in the appendix.

In the statistical model, the prefragment (compound nucleus) is assumed to be infinitely heavy, and the emission spectrum is assumed to be isotropic in the rest frame. The probability function, as given in references 13 and 14, is

$$P_n(j, E_n) = \frac{2\mu_n g_n E_n \sigma_{CN} w_o (E_j^* - E_n)}{\sum_l F_l} \quad (43)$$

where  $\mu_n$  is the neutron mass,  $g_n$  is the statistical weight,  $\sigma_{CN}$  is the formation cross section by the inverse process, and  $w_o$  is the level density of the residual nucleus. In equation (43) we have

$$F_l = \int_0^{E_l^* - S_j} P_l(j, E) dE \quad (44)$$

We consider competition between the emission of the light particles  $n$ ,  $p$ ,  $d$ ,  $t$ ,  $h$ , and  $\alpha$ . The model parameters are taken from Dostrovsky (ref. 23). If sufficient excitation energy is available, then several neutrons will be emitted. We then evaluate the cumulative spectrum as

$$P_n(j, E_n) = P_n(j, E_n) + \sum_l \int_0^{E_l^*} P_l(j, E_l) P_n(k, E_n) dE_l + \dots \quad (45)$$

In this work, terms through the third order in the series of equation (45) are considered. The neutron momentum distribution in the lab frame is found by multiplying equation (42) by the neutron energy to form a Lorentz invariant and then performing the transformation to the laboratory system.

## Results for Forward Neutron Production

The production of secondary neutrons from 390A MeV and 800A MeV  $^{20}\text{Ne}$  and  $^{93}\text{Nb}$  beams has been measured in the forward direction with targets of NaF and Pb (refs. 8 and 9). In figures 2 to 4, we compare these data with calculations based on the previous formalism. For calculations, the NaF target is represented by  $^{20}\text{Ne}$ . The measurements are for the inclusive production of neutrons, and the calculations shown consider the neutron production from the target nuclei in the knockout stage of abrasion. For the Nb projectile, some underestimation of the evaporation peak centered at the beam energy (fig. 4) occurred and may be due to the inclusion of only up to third-order terms in the evaporation chain. The underestimation of the evaporation peak for the  $^{93}\text{Nb}$  beam may not be of concern for cosmic ray studies for which Fe is usually the heaviest ion of interest.

The high-energy component of neutrons extends well above the velocity corresponding to that of the projectile beam. As discussed previously, in predicting inclusive proton yields (ref. 12), model calculations are only successful in predicting the high-energy component of the spectrum when the internal nucleon momentum

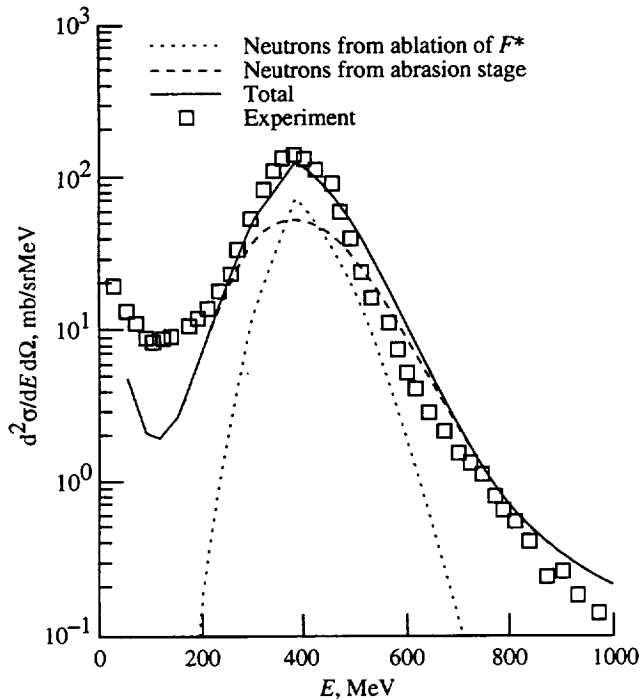


Figure 2. Calculations versus experimental data (from refs. 8 and 9) for energy spectrum of secondary neutrons at  $0^\circ$  in laboratory for Ne beams at 390A MeV colliding with NaF targets.

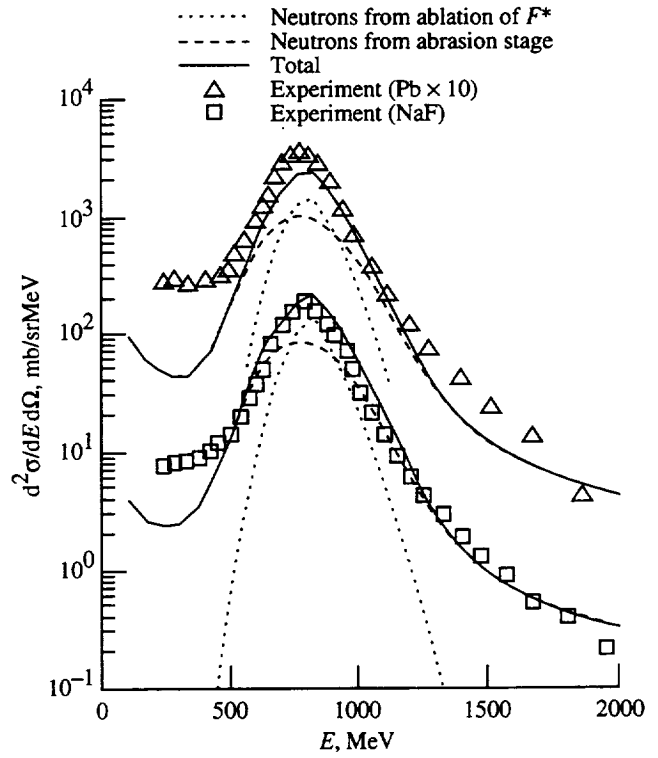


Figure 3. Calculations versus experimental data (from refs. 8 and 9) for energy spectrum of secondary neutrons at  $0^\circ$  in laboratory for Ne beams at 800A MeV colliding with NaF and Pb targets.

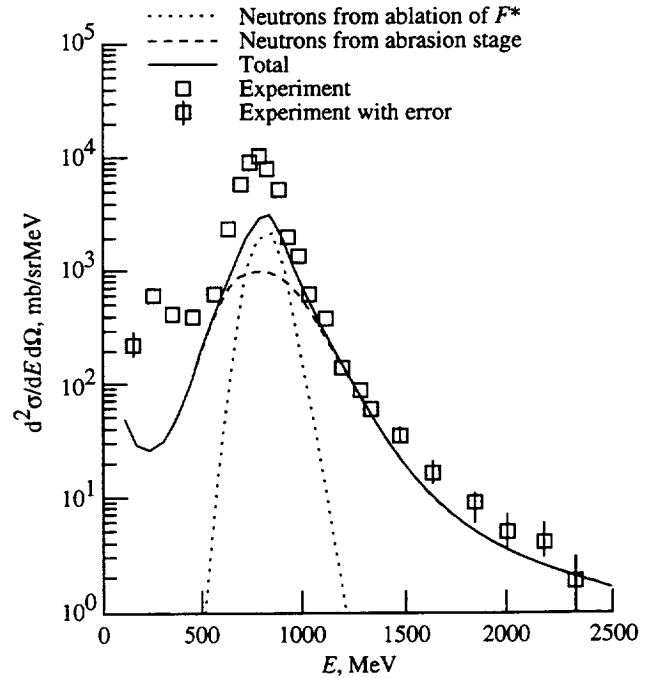


Figure 4. Calculations versus experimental data (from refs. 8 and 9) for energy spectrum of secondary neutrons at  $0^\circ$  in laboratory for Nb beams at 800A MeV colliding with Nb targets.

spectrum contains correlation effects. Here the Fermi gas model underestimates the data by several orders of magnitude. The dependence on the target mass of the high-energy component (fig. 3) indicates that multiple scattering also affects this component. The model calculations do not account for higher order cascade effects of projectile knockouts interacting with the projectile prefragments (other than the overall absorption). The calculations also do not account for the production of nucleons through the decay of nucleon isobars that are produced in the reaction. Both of these contributions are important for energies intermediate between that of the initial beam and target and grow in importance with the angle of production. Calculations of these processes will be discussed in future work.

## **Concluding Remarks**

The production of secondary neutrons in heavy ion collisions has been formulated with the two-stage abrasion-ablation model. Good agreement with experimental data for forward neutron production is found. Calculations show that neutrons produced in both stages of the reaction are important. The secondary neutrons produced in nuclear abrasion extend to velocities well above that of the beam velocity in these reactions. This extension is a result of correlation effects that occur in the internal nucleon momentum distribution and through multiple scattering. The results of these calculations will be used to develop databases for cosmic ray shielding studies.

## Appendix

### Kinematical Phase Space and Inclusive Momentum Distribution

In this appendix, we discuss the relationship of the multiple-production terms of nucleons abraded from the projectile to the kinematical phase space. We also consider an approximation with the Glauber multiple-scattering series, in which energy conservation is ignored entirely.

The scattering amplitude for the heavy ion collision is related to the cross section by the phase space of each particle that appears in the final state. We consider inclusive reactions in which a nucleon originating in the projectile is measured. For simplicity, the final target state is not considered, and we use closure on these states with a single momentum vector denoted by  $\mathbf{p}_X$  to represent these states. The cross section is then determined by

$$d\sigma = \frac{(2\pi)^4}{\beta} \sum_X d\mathbf{p}_X d\mathbf{p}_{F^*} \sum_{n=1}^n \prod_{j=1}^n [d\mathbf{p}_j] \times \delta(E_i - E_f) \delta(\mathbf{p}_i - \mathbf{p}_f) |T_{fi}|^2 \quad (\text{A1})$$

where  $\beta$  is the relative projectile target velocity,  $F^*$  represents the prefragments,  $n$  is the number of nucleons knocked out of the projectile in the overlap region with the target, and  $i$  and  $f$  denote the initial and final states, respectively. The prefragment decays through particle emission when sufficient energy is available. To include the phase space of decay products of  $F^*$ , we write

$$d\mathbf{p}_{F^*} = d\mathbf{p}_F \prod_{r=0} d\mathbf{p}_r \quad (\text{A2})$$

where  $r$  denotes the ions (if any) emitted in ablation. To consider nucleon production from ablation, we would study the  $\mathbf{p}_r$ . We use the momentum-conserving  $\delta$  function in equation (A1) to eliminate  $\mathbf{p}_F$  from equation (A1).

We next consider using energy conservation in equation (A1). Working in the projectile rest frame, we transform  $d\mathbf{p}_X$  to  $d\mathbf{q}$ , where  $\mathbf{q} = \mathbf{p}_T - \mathbf{p}_X$  is the total momentum transfer in the collision and use the energy-conserving  $\delta$  function in equation (A1) to eliminate  $dq_L$  where  $q_L$  is the longitudinal momentum transfer. We then find

$$d\sigma = (2\pi)^4 \sum_X d\mathbf{q}_T K \prod_{r=0} [d\mathbf{p}_r] \sum_{n=1}^n \prod_{j=1}^n [d\mathbf{q}_j] |T_{fi}|^2 \quad (\text{A3})$$

where the phase space factor is defined as

$$K = \frac{1}{\beta} \frac{-\partial E_f}{\partial q_L} = \frac{1}{\beta} \frac{E_X E_{F^*}}{E_{F^*} (p_T - q_L) + E_X \left( \sum_j p_j \cos \theta_j - q_L \right)} \quad (\text{A4})$$

The momentum distribution of nucleons from abrasion is then given as

$$\frac{d\sigma}{d\mathbf{p}} = (2\pi)^4 \sum_X \int d\mathbf{q}_T \sum_{n=1}^n \int \prod_{j=2}^n d\mathbf{p}_j \prod_{r=0} d\mathbf{p}_r K |T_{fi}|^2 \quad (\text{A5})$$

Equation (A4) corresponds closely to equation (3) if we make the following replacement:

$$K = \int d\mathbf{q}_L \delta(E_i - E_f) \rightarrow \int dE_{F^*} \delta(E_i - E_f) \quad (\text{A6})$$

If energy conservation is ignored entirely, then we would have  $K = 1$ . When the participant-spectator arrangement of the Glauber series previously discussed is used, the inclusive momentum distribution becomes

$$\frac{d\sigma}{d\mathbf{k}} = \sum_n \binom{A_P}{n} \int d^2b \mathcal{P}^{A_P-n}(\mathbf{b}) \frac{dN_n}{d\mathbf{k}} \quad (\text{A7})$$

where

$$\frac{dN_n}{d\mathbf{k}} = \frac{dN_1}{d\mathbf{k}} [1 - \mathcal{P}(\mathbf{b})]^{n-1} \quad (\text{A8})$$

and note that

$$\int \frac{dN_1}{d\mathbf{k}} d\mathbf{k} = 1 - \mathcal{P}(\mathbf{b}) \quad (\text{A9})$$

The result of equation (A8) indicates that the inclusive momentum distribution from abrasion essentially follows the shape of the leading-order term in the approximations discussed because since the absorptive factors in equation (A7) change slowly with increasing  $n$  for  $A_P \gg 1$ . The total cross section for abrading  $n$  nucleons follows from equations (A7) through (A9) as

$$\sigma_n = \binom{A_P}{n} \int d^2b \mathcal{P}^{A_P-n}(\mathbf{b}) [1 - \mathcal{P}(\mathbf{b})]^n \quad (\text{A10})$$

which is in agreement with references 4 or 5.

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1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE June 1995	3. REPORT TYPE AND DATES COVERED Technical Memorandum		
4. TITLE AND SUBTITLE Abrasion-Ablation Model for Neutron Production in Heavy Ion Reactions		5. FUNDING NUMBERS WU 199-45-16-12		
6. AUTHOR(S) Francis A. Cucinotta, John W. Wilson, and Lawrence W. Townsend				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) NASA Langley Research Center Hampton, VA 23681-0001		8. PERFORMING ORGANIZATION REPORT NUMBER L-17455		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) National Aeronautics and Space Administration Washington, DC 20546-0001		10. SPONSORING/MONITORING AGENCY REPORT NUMBER NASA TM-4656		
11. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION/AVAILABILITY STATEMENT Unclassified-Unlimited Subject Category 73 Availability: NASA CASI (301) 621-0390			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) In heavy ion reactions, neutron production at forward angles is observed to occur with a Gaussian shape that is centered near the beam energy and extends to energies well above that of the beam. This paper presents an abrasion-ablation model for making quantitative predictions of the neutron spectrum. To describe neutrons produced from the abrasion step of the reaction where the projectile and target overlap, we use the Glauber model and include effects of final-state interactions. We then use the prefragment mass distribution from abrasion with a statistical evaporation model to estimate the neutron spectrum resulting from ablation. Measurements of neutron production from Ne and Nb beams are compared with calculations, and good agreement is found.				
14. SUBJECT TERMS Galactic cosmic rays; Radiation protection; Secondary neutrons; High-altitude aircraft			15. NUMBER OF PAGES 13	
			16. PRICE CODE A03	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT	

